

CS 209

Data Structures and Mathematical
Foundations

04 / 08 / 2024

Instructor: Michael Eckmann

Today's Topics

- Questions?/Comments?
- Heapsort
- Quicksort

Heapsort

- The algorithm is simply:
 - start with n unsorted data items
 - create a maxHeap (of size n) out of these items --- store it as a list
 - set $i = n - 1$
 - swap the root (index 0) and last node (index i)
 - reheapify (downward reheapification) the tree that starts at the root (index 0) and goes to $i-1$ (do not include the nodes at i and higher in the new heap)
 - $i = i - 1$
 - do the above 3 steps until the size of the tree we are heapifying is one ($i=0$)
 - The list is now sorted from low to high.
- Let's go through an example.

Next assignment

- Your next assignment (to be officially assigned within the next couple of days) --- will involve implementing heapSort among other tasks

Quicksort

- Does anyone remember MergeSort? What kind of algorithm was that?

Quicksort

- Does anyone remember MergeSort? What kind of algorithm was that?
- Divide and Conquer
- It divided the list into equal sized halves and did MergeSort on each half then it combined the two halves.
 - Any recollection on what it did to combine/conquer the two halves?

Quicksort

- Does anyone remember MergeSort? What kind of algorithm was that?
- Divide and Conquer
- It divided the list into equal sized halves and did MergeSort on each half then it combined the two halves.
 - Any recollection on what it did to combine/conquer the two halves?
 - created a new list of same size and got the original halves merged into this new list so that the new list was sorted. Then the new list was copied back.

Quicksort

- Quicksort is a Divide and Conquer sorting algorithm as well.
- It has a few distinctions from MergeSort though.
- Quicksort
 - divides the list into 2 portions at each step, but these two portions aren't necessarily the same size
 - a pivot value is chosen and the elements are divided into two sublists – one sublist containing elements less than the pivot and the other sublist containing elements greater than or equal to the pivot
 - Also, it doesn't have the extra space requirement that MergeSort has. QS space: $O(1)$, MergeSort space: $O(n)$

Quicksort

- Quicksort algorithm
 - 1) if size of list, L is 0 or 1, return
 - 2) pick some element in list as a pivot element
 - 3) divide the remaining elements (minus the pivot) of L into two groups, L_1 , those with elements less than the pivot, and L_2 , those with elements greater than or equal to the pivot
 - 4) sorted list is: (Quicksort(L_1) followed by pivot, followed by Quicksort(L_2))
- Depending on which is the pivot element, the sizes of the two sides could differ greatly.
- Unlike MergeSort, Quicksort does not guarantee equal size portions to sort (which is bad.) But, the divide stage can be done in-place (without any additional space, which is good.)

Quicksort

- Choosing the pivot
 - to pick some element in list as a pivot element we can
 - pick the first (bad if list is almost sorted, why?)
 - pick a random one (random # generation could be time consuming – high constant factor)
 - pick the pivot that is the median of 3 elements (say the median of the first, middle and last element)
 - not much extra work
 - the almost sorted case isn't a problem for this

Quicksort

- Divide strategy – how to divide our list into two sublists of less than pivot and greater than pivot (assume all elements distinct for now)
- The following strategy gives good results.

Quicksort

- Divide strategy
 - 1) swap the pivot with the last in the list
 - 2) start index i pointing to first in list and index j to next to last element
 - 3) while (element at $i < \text{pivot}$)
 increment i
 - 4) while (element at $j \geq \text{pivot}$)
 decrement j
 - 5) if ($i < j$) element at i is $> \text{pivot}$ and element at j is $< \text{pivot}$ so, we swap them and repeat from step 3.
 - 6) when $i > j$, we swap the pivot that is in the last place with the element at i .

Let's see an example: 4,20,5,7,16,18,22,3,1,8,25,30,40,15 (and let's always choose the last element as the pivot, first time it is 15)

Quicksort

- Let's write Quicksort
 - We can make quicksort be a recursive method that takes in
 - a list
 - the starting index of the data to be sorted
 - the ending index of the data to be sorted
 - quicksort can partition the elements
 - find a pivot and divide a (portion of a) list into elements less than pivot, followed by pivot, followed by elements greater than pivot
 - This can be a function that will take in
 - a list
 - the starting index of the data to be sorted
 - the ending index of the data to be sorted
 - and it will return the pivot index and alter the order of the elements of a subset of the list passed in

Quicksort

- A typical speedup for Quicksort is to do the following:
 - when we get down to some small number of elements (say 10) in our list, instead of using quicksort on them, we do insertion sort.
- How would we alter the code we just wrote to do insertion sort when the number of elements to sort is small?